

01-07-00

A



"Express Mail" mailing label number EL 392 678 845 US.
of Deposit 1-6-2000

Our Case No. 9281/3519
Client Reference No. 2F US98143

PATENT APPLICATION TRANSMITTAL LETTER



The Assistant Commissioner for Patents:

Transmitted herewith for filing is the patent application of Tatsumaro Yamashita for OPTICAL DISK CARTRIDGE

Enclosed are:

- 30 pages of application (including title page), 15 sheet(s) of drawings and the following Appendices : N/A.
- Preliminary Amendment (including 2 sheets drawings of new Fig. 11 - 1 sheet corrected version and 1 sheet formal version)
- Declaration and Power of Attorney for Patent Application
- Assignment transmittal letter and Assignment of the invention to : Alps Electric Co., Ltd.
- Submission of Certified Copy of Priority Document and certified copy of Japanese Application No. 11-002687.
- Information Disclosure Statement; PTO-1449 reference(s) A1.

Claims as Filed	Col. 1	Col. 2
For	No. Filed	No. Extra
Basic Fee		
Total Claims	4-20	0
Indep. Claims	1-3	0
Multiple Dependent Claims Present		

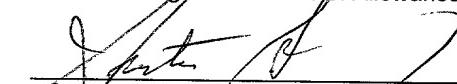
*If the difference in col. 1 is less than zero, enter "0" in col. 2.

Small Entity		Other Than Small Entity	
Rate	Fee	Rate	Fee
	\$ 345		\$ 690
x\$9=	\$	x\$18=	\$0
x\$39=	\$	x\$78=	\$0
+\$130=	\$	+\$260=	\$0
Total	\$	Total	\$690

- Please charge my Deposit Account No. 23-1925 in the amount of \$: _____. A duplicate copy of this sheet is enclosed.
- A check in the amount of \$: 690 to cover the filing fee is enclosed.
- The Assistant Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
 - Any additional filing fees required under 37 CFR § 1.16.
 - Any patent application processing fees under 37 CFR § 1.17.
- The Assistant Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
 - Any filing fees under 37 CFR § 1.16 for presentation of extra claims.
 - Any patent application processing fees under 37 CFR § 1.17.
 - The issue fee set in 37 CFR § 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR § 1.311(b).

1-6-2000

Date


 Gustavo Siller, Jr.
 BRINKS HOFER GILSON & LIONE
 Registration No. 32,305

EXPRESS MAIL NO. EL 392 678 845 US
DATE OF DEPOSIT 1-6-2000

Our Case No. 9281/3519
Client Reference No. 2F US98143

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Tatsumaro Yamashita)
Serial No. To Be Assigned)
Filing Date: Herewith)
For Optical Disk Cartridge)
)

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

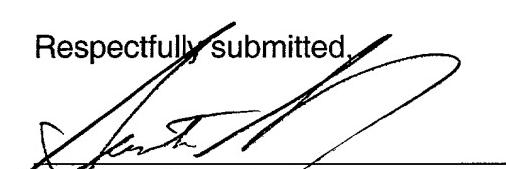
Prior to examination of the above-identified application, please amend the application as follows:

In the Specification

Please replace Fig. 11 with a new formal drawing of Fig. 11 enclosed herein.

Remarks

Applicant has enclosed a corrected version of Fig. 11 with corrections marked in red. Applicant requests the Examiner to approve the corrections. In addition, Applicant has enclosed a formal drawing of corrected Fig. 11.

Respectfully submitted,

Gustavo Siller, Jr.
Registration No. 32,305
Attorney for Applicant

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, ILLINOIS 60610
(312) 321-4200

Our Case No. 9281/3519
Client Reference No. 2F US98143

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
APPLICATION FOR UNITED STATES LETTERS PATENT

INVENTOR: TATSUMARO YAMASHITA

TITLE: OPTICAL DISK CARTRIDGE

ATTORNEY: GUSTAVO SILLER, JR.
Registration No. 32,305
BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, ILLINOIS 60610
(312) 321-4200

EXPRESS MAIL NO. EL 392 678 845 US

DATE OF MAILING 1-6-2000

OPTICAL DISK CARTRIDGE

BACKGROUND OF THE INVENTION

1. Field of the Invention

The present invention relates to an optical disk cartridge for DVD-RAMs (digital versatile disk RAMs), and more particularly, relates to an optical disk cartridge suitable for use with 80 mm disks.

2. Description of the Related Art

Some optical disks, such as some CDs and DVDs, used while being accommodated in an optical disk cartridge.

A conventional disk cartridge for accommodating therein large (120 mm) disks will be described with reference to Figs. 30 and 31.

Top and bottom faces of a casing 21 are formed with clamping windows 21a opposing the center of the casing 21, and are formed with reading and writing windows 21b connected to the clamping windows 21a.

Each of the clamping windows 21a consists of a semicircular portion (R_1 is about 17 mm) and a portion connected from the circular portion to each of the reading and writing windows 21a.

When such an optical disk cartridge is loaded on a disk driving apparatus 22, as shown in Fig. 31, a shutter is moved to open the clamping windows 21a and the reading and

writing windows 21b.

When the disk D is loaded, a table 23 enters into the clamping window 21a formed in the bottom face of the casing 21, and a clamper (not shown) enters into the clamping window 21a formed in the top face of the casing 21, whereby the disk D in the casing 21 is clamped while being slightly raised.

An optical head 24 is moved along the reading and writing windows 21b, whereby information is read from the disk D and is written on the disk D.

In order to smoothly insert the table 23 and the clamper into the clamping windows 21a, it is necessary to form opening areas of the clamping windows 21a larger than the table 23 or the clamper with clearance therebetween.

In particular, in an optical disk cartridge in which the table 23 is rotated about a fulcrum 25 to enter the clamping windows 21a, as shown in Fig. 31, a terminal edge 26 of the table 23 easily interferes with the peripheries of the clamping windows 21a, and it is necessary to form the clamping windows 21a larger than the table 23 with sufficient clearance.

According to an optical disk cartridge for accommodating therein small (80 mm) disks, it is difficult to form large (equivalent to 120 mm) clamping windows 21a. If the clamping windows 21a are enlarged, the width of the

shutter for closing the clamping windows 21a is correspondingly increased, and side edges of the shutter may be extruded from the casing 1 when the shutter is slid to an opening position.

SUMMARY OF THE INVENTION

Accordingly, it is an object of the present invention to provide an optical disk cartridge which can form a large clamping window while preventing a shutter at an opening position from being extruded from a casing.

In accordance with the present invention, there is provided an optical disk cartridge including a casing having a pair of walls for accommodating an optical disk therein; wherein the walls of the casing are formed with clamping windows for clamping the disk at the central portions thereof, and are formed with reading and writing windows for reading information from the disk and writing information on the disk from the clamping windows to side edges of the casing; a shutter capable of opening and closing the clamping windows and the reading and writing windows is slidably provided, and the horizontal size of the clamping windows along a sliding direction of the shutter is formed shorter than the vertical size thereof orthogonal to the sliding direction of the shutter.

In the optical disk cartridge of the present invention,

00001010-00000000-0000

the clamping windows may be formed by linear parts opposing along the sliding direction of the shutter, and arcuate parts connecting the linear parts, and a distance between the linear parts may be shorter than a diameter of the arcuate parts.

In addition, the diameter of the arcuate parts of the clamping windows may be ± 2 mm of 28 mm, and the distance between the linear parts may be ± 2 mm of 27.4 mm.

In the optical disk cartridge of the present invention, projections for narrowing the width of the reading and writing windows may be formed on the border of the clamping windows and the reading and clamping windows.

BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a perspective view of an optical disk cartridge according to the present invention;

Fig. 2 is a perspective view of the optical disk cartridge according to the present invention when it is turned upside down;

Fig. 3 is a front view of the optical cartridge according to the present invention;

Fig. 4 is a sectional view taken along the line 4-4 in Fig. 1;

Fig. 5 is a sectional view taken along the line 5-5 in Fig. 3;

Fig. 6 is an enlarged view of a portion represented by Z in Fig. 5;

Fig. 7 is a sectional view taken along the line 7-7 in Fig. 6;

Fig. 8 illustrates movement of an operation part and an operation element of the optical disc cartridge;

Fig. 9 is a sectional view taken along the line 9-9 in Fig. 8;

Fig. 10 illustrates the relationship between the optical disk cartridge and a disk driving apparatus according to the present invention;

Fig. 11 is a plan view of a bottom cover in the optical disk cartridge according to the present invention;

Fig. 12 is a side view of the bottom cover;

Fig. 13 is a front view of the bottom cover;

Fig. 14 is a rear elevation view of the bottom cover;

Fig. 15 is an enlarged sectional view of a portion represented by X in Fig. 11;

Fig. 16 is a plan view of a holder for the optical disk cartridge;

Fig. 17 is a front view of the holder;

Fig. 18 is a side view of the holder;

Fig. 19 is a rear elevation view of the holder;

Fig. 20 is a partial view showing a principal part of the holder;

Fig. 21 is a sectional view taken along the line 21-21
in Fig. 20;

Fig. 22 is a sectional view taken along the line 22-22
in Fig. 20;

Fig. 23 is a partial view showing a principal part of
the holder;

Fig. 24 is a sectional view taken along the line 24-24
in Fig. 23;

Fig. 25 is a sectional view taken along the line 25-25
in Fig. 23;

Fig. 26 is a plan view of the operation part of the
optical disk cartridge;

Fig. 27 is a sectional view taken along the line 27-27
in Fig. 26;

Fig. 28 is a plan view of the operation element of the
optical disk cartridge;

Fig. 29 is a sectional view taken along the line 29-29
in Fig. 28;

Fig. 30 is a plan view of a conventional optical disk
cartridge; and

Fig. 31 illustrates the relationship between the
conventional optical disk cartridge and a disk driving
apparatus.

DESCRIPTION OF THE PREFERRED EMBODIMENT

While optical disk cartridges exist for DVD-RAM disks of 120 mm in diameter, optical disk cartridges for DVD-RAM disks of 80 mm in diameter are being researched.

The present invention provides an optical disk cartridge for 80 mm disks which can be applied to optical disk cartridges for 120 mm disks, and to a disk driving apparatus for the optical disk cartridge for 120 mm disks.

An embodiment of an optical disk cartridge of the present invention applied to the 80-mm disks used for the DVD-RAM will now be described with reference to Figs. 1 to 29.

A casing 1 consists of top and bottom covers 2 and 3 which are molded articles made of synthetic resin.

Since the top and bottom covers 2 and 3 have nearly the same construction, only the bottom cover 3 will be described in detail. Regarding the top cover 2, portions thereof which differ from those of the bottom cover 3 will also be described.

As shown in Figs. 1 to 15, the bottom cover 3 includes a planar wall 3a, a pair of side walls 3b provided on the left and right of the wall 3a, and a rectangular cutout 3e which is formed in front of the wall 3a with spaces 3c and 3d remaining between the side walls 3b.

In addition, the bottom cover 3 includes front walls 3f and 3g provided at left and right front positions

00000000000000000000000000000000

corresponding to the spaces 3c and 3d. The front walls 3f and 3g are provided with recesses 3h of different shapes at positions exposed to the outside so as to form incorrect-insertion-preventing sections A.

As shown in Figs. 11 to 15, the space 3c of the wall 3a is provided with operating slots 3i and 3j, and a breakable blocking part 3m consisting of a projection and having thin-walled parts 3k at the base portion thereof which are sequentially arranged side by side.

The space 3d of the wall 3a is provided with a circular discrimination hole 3n for discriminating whether or not information can be written on the disk, a circular discrimination hole 3p for discriminating whether or not the checking for defective regions has been completed, and a circular blocking part-removing hole 3q which are sequentially arranged side by side. The discrimination hole 3n and the operating slot 3i, the discrimination hole 3p and the operating slot 3j, and the hole 3q and the blocking part 3m are provided at positions equidistant from the center of the wall 3a.

The bottom cover 3 includes a spring receiver 3r formed on the rear thereof, a substantially circular clamping window 3s formed in the center thereof, a rectangular reading and writing window 3t provided continuously with the clamping window 3s, projections 3u formed on the border of

2020-2024-09

the clamping window 3s and the reading and writing windows 3t so as to narrow the width of the window 3t, an arcuate rim 3v provided on the rear of the inside of the bottom cover 3, regulating parts 3w connected to both ends of the rim 3v and extending rearward inclined relative to the side walls 3b, a base 3x provided over the central portion of the inside of the bottom cover 3, a pair of latching recesses 3y provided at opposing positions of the side walls 3b, and a rectangular recessed part 3z provided on the back of the wall 3a of the bottom cover 3.

Referring to Fig. 11, in the window 3t for reading and writing, an optical head linearly moves so as to read and write information from and on the disk D. When the direction of movement of the optical head is taken as a vertical direction E, and the direction orthogonal to the vertical direction E is taken as a horizontal direction F, the clamping window 3s is formed by arcuate parts J each having a radius of 14 mm from the center C of the window 3s (the same as the center C of the disk D), i.e., having a diameter G of 28 mm in the vertical direction E, and linear parts K having a distance therebetween of 27.4 mm parallel to the direction of movement of the optical head, i.e., the vertical direction E. The arcuate parts J connect the linear parts K.

That is, the clamping window 3s has the horizontal size

DRAFTS-23562460

H along a sliding direction of a shutter which is formed shorter than the vertical size G orthogonal to the sliding direction of the shutter, and has a diameter G (28 mm) in the vertical direction E which is formed larger than the distance H (27.4 mm) in the horizontal direction F.

The same construction applies to a clamping window 2s of the top cover 2, and the diameter G may preferably be \pm 2 mm of 28 mm, and the width H may preferably be \pm 2 mm due to the relationship between the entire width of the casing 1 for the 80 mm disk and a motor and shutter (described hereinbelow) of the disk driving apparatus for the 120 mm disk.

The details of the top cover 2 are omitted, and only some of the components and the reference numerals thereof are shown in the figures.

As will be understood from a comparison of Fig. 1 and Fig. 2, the top cover 2 differs from the bottom cover 3 in that a recessed part 2z is formed on the surface of the wall 2a of the top cover 2, in that a space 2f of the wall 2a is provided with a discrimination hole 2n for discriminating whether or not the information can be written on the disk D, a discrimination hole 2p for determining whether or not the checking for defective regions has been completed, and a blocking part-removing hole 2q, and in that a space 2g of the wall 2a is provided with operating slots 2i and 2j

arranged side by side, and a breakable blocking part 2m consisting of a projection and having thin-walled parts 2k at the base portion thereof.

The top cover 2 and the bottom cover 3 constructed as described above are combined vertically to thereby form the casing 1.

When the top and bottom covers 2 and 3 are combined, an accommodating section 1a for accommodating the optical disk D and the like is formed therebetween, and the incorrect-insertion-preventing sections A having recesses of different shapes are formed between the front walls 2f and 2g and 3f and 3g, as shown in Fig. 3.

In addition, when the top and bottom covers 2 and 3 are combined, the discrimination hole 2n of the top cover opposes a part of the operating slot 3i of the bottom cover 3, the discrimination hole 2p opposes a part of the operating slot 3j, and the hole 2q opposes the blocking part 3m on the right side of the casing 1, and the discrimination hole 3n of the bottom cover 3 opposes a part of the operating slot 2i of the top cover 2, the discrimination hole 3p opposes a part of the operating slot 2j, and the blocking part 2m opposes the hole 3q on the left side of the casing 1.

Closing parts 1b for closing the operating slots 3i and 3j are formed adjacent to the discrimination holes 2n and 2p

2025 RELEASE UNDER E.O. 14176

of the wall 2a opposing the operating slots 3i and 3j, and closing parts 1c for closing the operating slots 2i and 2j are formed adjacent to the discrimination holes 3n and 3p of the wall 3a opposing the operating slots 2i and 2j.

Furthermore, when the top and bottom covers 2 and 3 are combined, a clamping window 2s and a reading and writing window 2t of the top cover 2 opposes the clamping window 3s and the reading and writing window 3t of the bottom cover 3, a rim 2v and regulating parts 2w of the top cover 2 abut against the rim 3v and the regulating parts 3w of the bottom cover 3, a space for holding the disk D is secured between bases 2x and 3x, and latching recesses 2y and 3y are formed in the side walls 2b and 3b.

A shutter 4 is made of a U-shaped metal plate and consists of a rectangular plate part 4a for closing the clamping window 2s and the reading and writing window 2t, a rectangular plate part 4b for closing the clamping window 3s and the reading and writing window 3t, and a connecting part 4c for connecting the plate parts 4a and 4b.

The connecting part 4c is located on the rear of the casing 1, the plate parts 4a and 4b are disposed in the recessed parts 2z and 3z so that the shutter 4 can move within the range of the recessed parts 2z and 3z.

Spring members (not shown) are placed in the spring receivers 2r and 3r, and the shutter 4 is normally located

00001026222460

by the spring members at a neutral position to close the clamping windows 2s and 3s and the reading and writing windows 2t and 3t. When the shutter 4 is moved to the right side or the left side against the spring members, the shutter 4 opens the windows 2s, 3s, 2t, and 3t. When the biasing force to the shutter 4 is terminated, the shutter 4 is returned by the spring members to the neutral position again to close the windows 2s, 3s, 2t, and 3t.

As shown in Figs. 16 to 19, a holder 5 which is a molded article made of synthetic resin includes a base part 5a, a pair of elastic arms 5b provided at both ends of the base part 5a to accurately extend rearward, a pair of triangular projections 5c provided at the terminal ends of the elastic arms 5b, holding parts 5d projecting from the upper and lower surfaces of the elastic arms 5b near the terminal ends of the elastic arms 5b, an exposed part 5e continuously connected to the inner surfaces of the elastic arms 5b to form the base part 5a, and a groove 5f provided behind the exposed part 5e.

The elastic arms 5b have spring properties on the side of the disk D, i.e., in mutually opposing each other. The disk D is inserted into the groove 5f between the elastic arms 5b, the holding parts 5d hold a part of the upper and lower surfaces of the disk D, and the elastic arms 5b hold the left and right peripheries of the disk D.

DRAFTS-28582460

In addition, as shown in Fig. 16, the elastic arms 5b extend rearward from the base part 5a while exceeding the size of the radius R of the disk D, and the holding parts 5d are formed at positions across the radius R of the disk D so as to hold the disk D at positions rearward of the center C of the disk D from the base part 5a.

The holder 5 can be inserted into and removed from the accommodating section 1a with the disk D held by the elastic arms 5b. When the holder 5 is accommodated in the accommodating section 1a together with the disk D, the projections 5c abut against the regulating parts 2w and 3w, as shown in Fig. 5. When the holder 5 is further pushed in, the elastic arms 5b are spread out in a direction to separate from the peripheries of the disk D, whereby the holding of the peripheries of the disk D by the elastic arms 5b is released, and the deformation of the elastic arms 5c toward the disk D is prevented by the regulating parts 2w and 3w.

When the holder 5 is mounted in the casing 1, the exposed part 5e is located in the cutouts 2e and 3e so as to be exposed.

In removing the holder 5 from the casing 1, the elastic arms 5b separate from the regulating parts 2w and 3w and return toward the disk D due to the spring properties thereof to hold the disk D, whereby the holder 5 can be

removed together with the disk D held thereby.

The holder 5 includes a pair of extended parts 5g located on both sides of the exposed part 5e to form a part of the base part 5a, a pair of first receiving parts 5h, each comprising a substantially rectangular hole, provided in the pair of extended parts 5g at positions equidistant from the widthwise central part of the holder 5, first guide parts 5i each consisting of side walls forming the receiving parts 5h, and a pair of V-shaped recesses 5j spaced apart in the first guide parts 5j.

The first receiving parts 5h coincide with the discrimination holes 2n and 3n provided in the top and bottom covers 2 and 3, respectively.

The holder 5 also includes a pair of second receiving parts 5k, each comprising a substantially rectangular wide hole, provided in the outside of the first receiving parts 5h at positions equidistant from the widthwise central part of the holder 5, second guide parts 5m each consisting of side walls forming the receiving parts 5k, and a pair of V-shaped recesses 5n spaced apart in the second guide parts 5m.

The second receiving parts 5k coincide with the discrimination holes 2p and 3p provided in the top and bottom covers 2 and 3, respectively, and blocking parts 2m and 3m.

In addition, the holder 5 includes identification marks

5p formed on top and bottom faces of the exposed part 5e. Each of the identification marks 5p consists of an elliptical recess 5q and a projection 5r provided on the recess 5q. As shown in Figs. 20 to 25, one projection 5r is provided on the top face of the base part 5a, and two projections 5r are provided on the bottom face of the base part 5a so that top and bottom faces of each of the identification marks 5p can be identified tactilly.

The holder 5 includes incorrect-insertion-preventing sections B provided on the extended parts 5g. The incorrect-insertion-preventing sections B have recesses 5s of different shapes provided in the left and right extended parts 5g, as shown in Fig. 17. The incorrect-insertion-preventing sections B of the holder 5 coincide with the incorrect-insertion-preventing sections A formed on the casing 1. If the holder 5 is turned upside down and an attempt is made to insert it into the casing 1, the incorrect-insertion-preventing sections A and B disenable the holder 5 being inserted into the casing 1.

The holder 5 includes a pair of locking parts 5t, each having spring properties, provided on both sides thereof. When the holder 5 is inserted into the accommodating section 1a of the casing 1, the latching parts 5t are inserted into the casing 1 in a state of elastically contacting the side walls 2b and 3b of the top and bottom covers 2 and 3, and

0000700-262624460

when the latching parts 5t coincide with the latching recesses 2y and 3y, the latching parts 5t spread out due to the spring properties thereof to be latched in the latching recesses 2y and 3y, whereby the holder 5 is mounted in the casing 1.

In removing the holder 5 from the casing 1, the latching parts 5t are first pressed toward the inside of the casing 1 from the outside of the casing 1 to unlatch the latching parts 5t from the latching recesses 2y and 3y, and then the base part 5a of the holder is pulled out.

An operation part 6 which is a molded article made of synthetic resin includes a rectangular sealing part 6a, a recess 6b formed in the sealing part 6a, and an engaging part 6c having spring properties which extends from one end of the sealing part 6a, as shown in Figs. 26 and 27.

The operation part 6 is accommodated by the holder 5 in the accommodating section 1a of the casing 1 in a state of being received in the first receiving part 5h so that the recess 6b opposes the operating slot 2i of the top cover 2, as shown in Figs. 5 to 9.

When the operation part 6 is accommodated, the upper and lower surfaces of the operation part 6 are clamped by the top and bottom covers 2 and 3, side surfaces abut against the first guide 5i, and the engaging part 6c is engaged with the recess 5j.

That is, the operation part 6 is slidably mounted without entering into the operating slot 2i of the top cover 2 and the discrimination hole 3n of the bottom cover 3.

As shown in Figs. 6 and 7, when the operation part 6 is at a position near the front of the casing 1, the discrimination hole 3n is closed by the sealing part 6a of the operation part 6 to prevent a detection pin (not shown), provided on the disk driving apparatus, from entering the discrimination hole 3n, whereby the information can be written on the disk D. When an operating tool (not shown) is passed through the operating hole 2i to be engaged with the recess 6b of the operation part 6, and the operation part 6 is slid along the operating slot 2i in the direction to separate from the front of the casing 1, the operation part 6 slides using the first guide part 5i as a guide and the engaging part 6c engages with another recess 5j, whereby the operation part 6 is positioned, as shown in Figs. 8 and 9.

In this case, the sealing part 6a of the operation part 6 opens the discrimination hole 3n and enables the entry of the detection pin so as to protect the disk D from having information written thereon.

In this way, the sliding movement of the operation part 6 determines whether or not information can be written on the disk D.

The operation part 6 disposed on the left side of the casing 1 shown in Fig. 5 may be disposed on the right side of the casing 1. When the operation part 6 is disposed on the right side, as will be understood from Figs. 1 and 2, the discrimination hole 2n is provided in the top cover 2 and the operating slot 3i is provided in the bottom cover 3. Therefore, the operation part 6 is disposed in a reversed state.

In this case, the disk D is used with the casing 1 turned upside down, as shown in Fig. 2, and whether or not information can be written on the disk D can be arbitrarily determined by selecting the top or the bottom side of the casing 1.

An operation element 7 which is a molded article made of synthetic resin includes a rectangular sealing part 7a, a recess 7b formed in the sealing part 7a, a through hole 7c formed in the sealing part 7a in parallel with the through hole 7b, and an engaging part 7d having spring properties which extends from one end of the sealing part 7a, as shown in Figs. 28 and 29.

As shown in Figs. 5 to 9, the operation element 7 is received in the receiving part 5k and is accommodated in the accommodating section 1a of the casing 1 in a state where the recess 7b opposes the operating slot 2j of the top cover 2, the blocking part 2m of the top cover 2 is inserted into

the through hole 7c, and the through hole 7c opposes the hole 3q of the bottom cover 3.

When the operation element 7 is accommodated, the movement of the operation element 7 is blocked by the blocking part 2m, the holder 5 cannot be removed from the casing 1, the upper and lower surfaces of the operation element 7 are clamped by the top and bottom covers 2 and 3, side surfaces abut against the second guide part 5m, and the engaging part 7d is engaged with the recess 5n.

That is, the operation element 7 is mounted without entering into the operating slot 2j of the top cover 2 and the discrimination holes 3p and 3q of the bottom cover 3.

When the disk D is accommodated in the casing 1 and the movement of the operation element 7 is blocked by the blocking part 2m as described above, the checking for defective regions of the disk D has been completed.

As shown in Figs. 6 and 7, when the operation element 7 is at a position near the front of the casing 1, the discrimination hole 3p is closed by the sealing part 7a of the operation element 7 to prevent the detection pin (not shown), provided on the disk driving apparatus, from entering the discrimination hole 3p so that the completion of the checking for defective regions of the disk D is detected.

The user, however, may desire to replace the disk D in

the casing 1 with another disk D from necessity.

In this case, the blocking part 2m is first broken by the operation tool (not shown) from behind the hole 3p or the blocking part 2m so as to allow the holder 5 and the operation element 7 to be removed from the casing 1.

When the holder 5 is removed from the casing 1, the operation element 7 is pulled out by the second receiving parts 5k and the disk D is pulled out by the elastic arms 5b. After the replacement of the disk D with another disk D, the second disk D and the operation element 7 are accommodated again in the accommodating section 1a of the casing 1 together with the holder 5.

The accommodated second disk D is not subjected to checking for defective regions. Therefore, when the operation tool (not shown) is passed through the operating slot 2j to be engaged with the recess 7b of the operation element 7, and the operation element 7 is slid along the operating slot 2j in a direction to separate from the front of the casing 1, the operation element 7 slides using the second guide part 5m, and the engaging part 7d engages with another recess 5n, whereby the operation element 7 is positioned, as shown in Figs. 8 and 9.

In this case, the sealing part 7a of the operation element 7 opens the discrimination hole 3p and enables the entry of the detection pin so as to determine that defective

regions of the disk D have not been detected.

When a defective region of the disk D is detected by the disk driving apparatus in the state shown in Fig. 8, the casing 1 is removed from the disk driving apparatus, and the operation element 7 is slid by the operation tool so as to bring about the state shown in Fig. 6.

Thereafter, it is determined that the checking for defective regions of the disk D has been completed.

The operation element 7 disposed on the left side of the casing 1 shown in Fig. 5 may be disposed on the right side of the casing 1. When the operation element 7 is disposed on the right side, as will be understood from Figs. 1 and 2, the discrimination hole 2p is provided in the top cover 2 and the operating slot 3j is provided in the bottom cover 3. Therefore, the operation element 7 is disposed in a reversed state.

In this case, the disk D is used with the casing 1 turned upside down, as shown in Fig. 2. Therefore, the blocking part 3m is broken so as to enable the movement of the operation element 7 and to determine whether or not the checking for defective regions has been completed.

The blocking parts 2m and 3m may be provided separately from the top and bottom covers 2 and 3.

In this case, holes 2q and 3q may be provided at the positions of the blocking parts 2m and 3m, and separate

blocking parts 2m and 3m may be inserted into the holes 2p and 3q. Assembling of such a disk cartridge is completed by combining the top and bottom covers 2 and 3 to form the casing 1, by accommodating the disk D, the operation part 6, and the operation element 7 in the casing 1, and then by mounting the separate blocking parts 2m and 3m. This facilitates manufacture of the optical disk cartridge.

As described above, the optical disk cartridge can be accurately assembled by the incorrect-insertion-preventing sections A of the casing 1 and the incorrect-insertion-preventing sections B of the holder 5 at the time of replacement of the disk D, and the top and bottom faces of the holder 5 or the optical disk cartridge can be securely identified by the identification mark 5p when assembling the holder 5 or when using the optical disk cartridge.

When the optical disk cartridge constructed as described above is loaded on a disk driving apparatus 8, the shutter 4 is moved to open the clamping windows 2s and 3s and the reading and writing windows 2t and 3t, as shown in Fig. 10.

When the disk D is loaded, the detection pins (not shown) enter into the discrimination holes 3n and 3p, respectively, to determine whether or not information can be written on the disk D and whether or not the checking for defective regions has been completed. In addition, a table

9 is rotated about a fulcrum 9a to allow a spindle 10a of a motor 10 attached on the table 9 to be inserted into a hole of the disk D and to allow a hub 10b to abut against the face of the disk D, whereby the disk D is clamped.

An optical head 11 is moved in the vertical direction E shown in Fig. 11 with the disk D rotated by the motor 10 so as to write information on the disk D or read information from the disk D.

The outer diameter of the hub 10b generally ranges from 25 to 29 mm. In consideration of the movement of the shutter 4 without extruding from the casing 1, the width H of the clamping windows 2s and 3s in the horizontal direction F is ± 2 mm of 27.4 mm. Moreover, since the hub 10b moves in a circle about the fulcrum 9a of the table 9, the diameter G of the clamping windows 2s and 3s in the vertical direction E is ± 2 mm of 28 mm.

By enlarging the size of the clamping windows 2s and 3s in the vertical direction E, the hub 10b can be prevented from colliding with the casing 1 during the circular motion thereof. In addition, by reducing the size of the clamping windows 2s and 3s in the horizontal direction F, the amount of movement of the shutter 4 can be secured.

The optical disk cartridge of the present invention includes the casing 1 having a pair of walls 2a and 3a for accommodating the disk D therein. The walls 2a and 3a of

the casing 1 are formed with clamping windows 2s and 3s for clamping the disk D at the central portions thereof, and are formed with reading and writing windows 2t and 3t for reading information from the disk D and writing information on the disk D from the clamping windows 2s and 3s to the side edges of the casing 1, the shutter 4 capable of opening and closing the clamping windows 2s and 3s and the reading and writing windows 2t and 3t is slidably provided, and the horizontal size H of the clamping windows 2s and 3s along a sliding direction of the shutter 4 is formed shorter than the vertical size G thereof orthogonal to the sliding direction of the shutter 4. Therefore, the size of the clamping windows 2s and 3s can be reduced so as to prevent the clamper and the hub 10b from colliding with the walls 2a and 3a due to the circular motion thereof, and the size of the optical disk cartridge can be reduced so that the shutter 4 is not extruded from the casing 1.

The clamping windows 2s and 3s are formed by linear parts K opposing along the sliding direction of the shutter 4, and arcuate parts J connecting the linear parts K, and the distance H between the linear parts K is shorter than the diameter G of the arcuate parts J. Therefore, the shape of the optical disk cartridge can be simplified, and the linear parts K are shaped along the reading and writing windows 2t and 3t and the side edges of the shutter 4. In

0000100-223002460

addition, the clamping windows 2s and 3s can be opened and closed as the shutter 4 opens and closes the reading and writing windows 2t and 3t.

The diameter of the arcuate parts J of the clamping windows 2s and 3s is ± 2 mm of 28 mm, and the distance between the linear parts K is be ± 2 mm of 27.4 mm. Therefore, the amount of movement of the shutter can be secured such that it is not extruded from the casing 1, and the size of the optical disk cartridge can be reduced such that the hub 10b can be inserted. In particular, the optical disk cartridge is suitable for use with 80 mm disks.

The projections 2u and 3u for narrowing the width of the reading and writing windows 2t and 3t are formed on the border of the clamping windows 2s and 3s and the reading and clamping windows 2t and 3t. Therefore, when the shutter 4 repeatedly opens and closes the clamping windows 2s and 3s and the reading and writing windows 2t and 3t, the engagement between the shutter 4 and the side edges of the clamping windows 2s and 3s and the reading and writing windows 2t and 3t can be reduced, and the shutter 4 can be smoothly moved.

WHAT IS CLAIMED IS:

1. An optical disk cartridge comprising a casing having a pair of walls for accommodating an optical disk therein;

wherein said walls of the casing are formed with clamping windows for clamping said disk at the central portions thereof, and are formed with reading and writing windows for reading information from said disk and writing information on said disk from said clamping windows to side edges of said casing; a shutter capable of opening and closing said clamping windows and said reading and writing windows is slidably provided, and the horizontal size of said clamping windows along a sliding direction of said shutter is formed shorter than the vertical size thereof orthogonal to the sliding direction of said shutter.

2. An optical disk cartridge according to Claim 1, wherein said clamping windows are formed by linear parts opposing along the sliding direction of said shutter, and arcuate parts connecting said linear parts; and

wherein a distance between said linear parts is shorter than a diameter of said arcuate parts.

3. An optical disk cartridge according to Claim 2,

wherein the diameter of said arcuate parts of said clamping windows is ± 2 mm of 28 mm, and the distance between said linear parts is ± 2 mm of 27.4 mm.

4. An optical disk cartridge according to Claim 1, wherein projections for narrowing the width of said reading and writing windows are formed on the border of said clamping windows and said reading and clamping windows.

ABSTRACT OF THE DISCLOSURE

An optical disk cartridge includes a casing having a pair of walls for accommodating an optical disk therein. In the optical disk cartridge, the walls of the casing are formed with clamping windows for clamping the disk at the central portions thereof, and are formed with reading and writing windows for reading information from the disk and writing information on the disk from the clamping windows to side edges of the casing. A shutter capable of opening and closing the clamping windows and the reading and writing windows is slidably provided. The horizontal size of the clamping windows along a sliding direction of the shutter is formed shorter than the vertical size thereof orthogonal to the sliding direction of the shutter.

2025 RELEASE UNDER E.O. 14176

FIG. 1

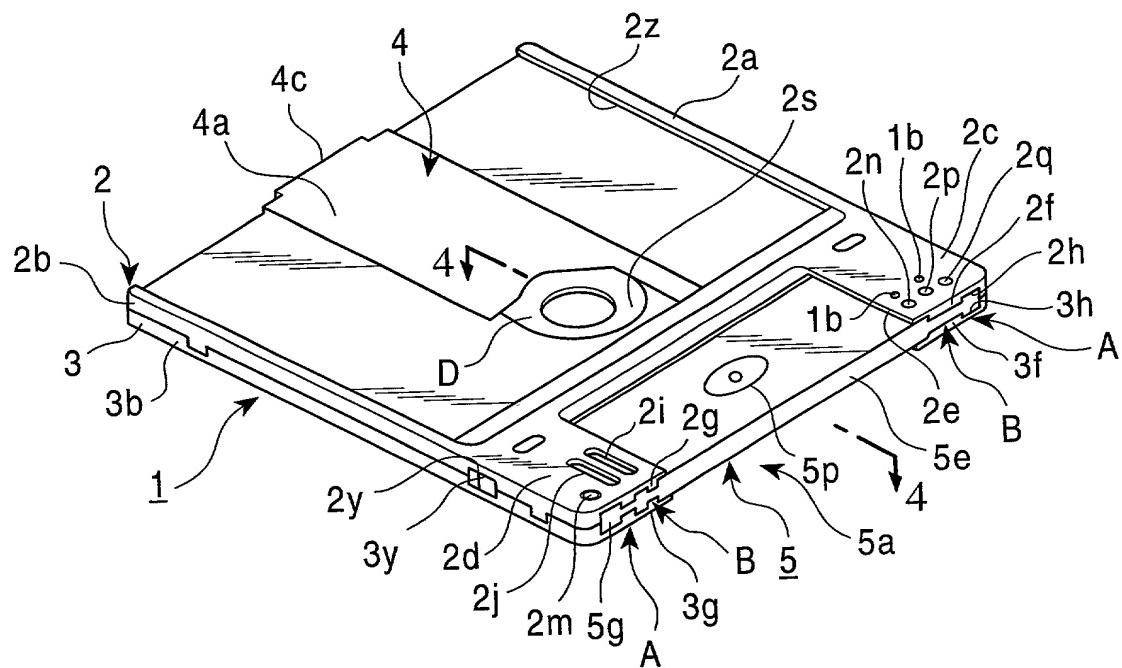


FIG. 2

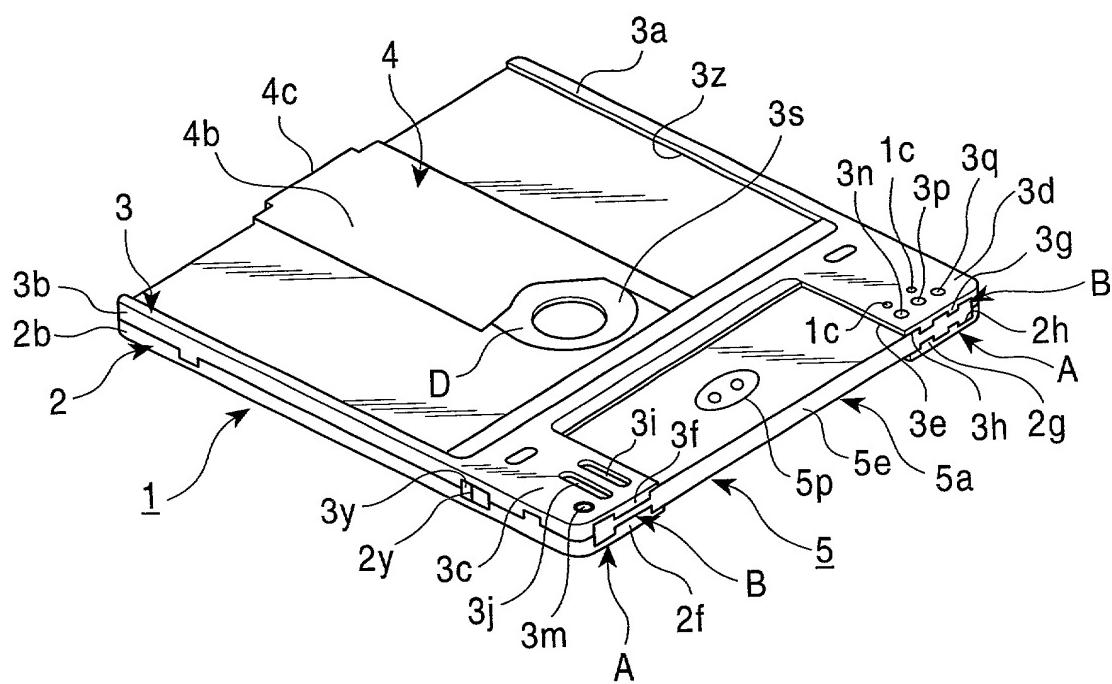


FIG. 3

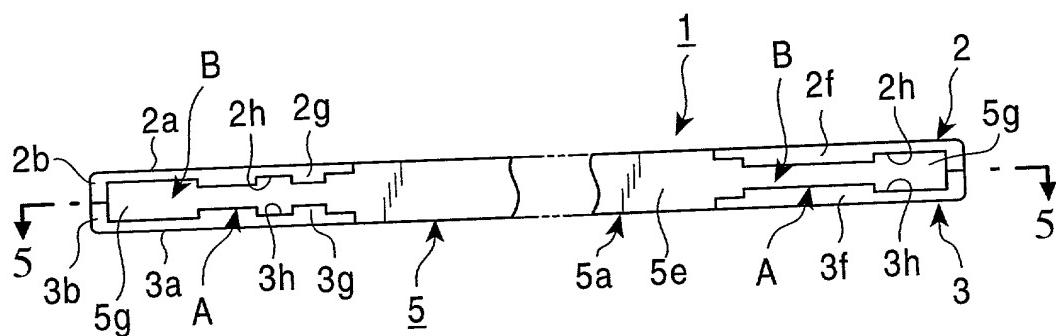


FIG. 4

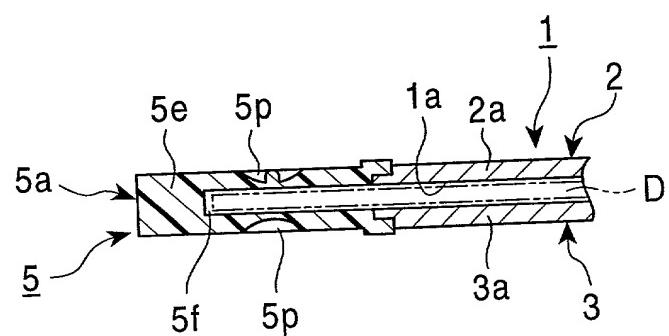


FIG. 5

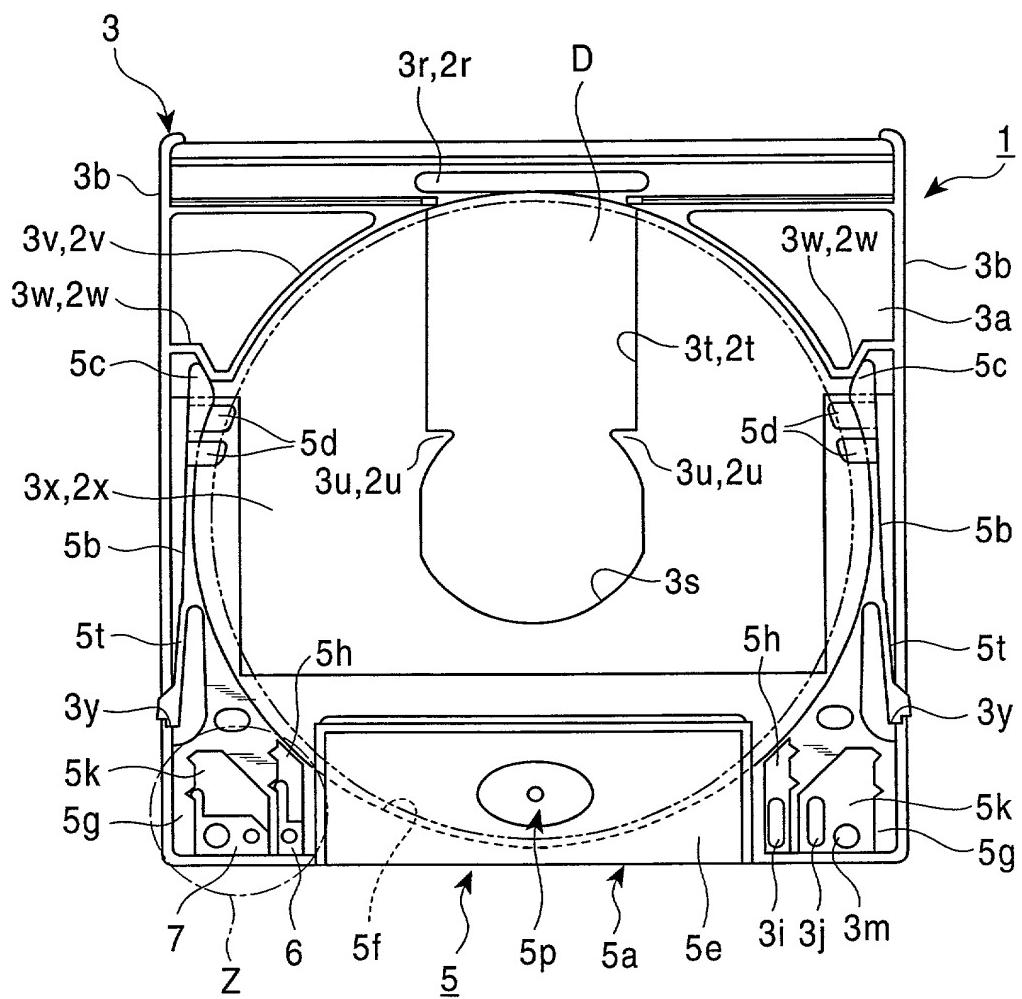


FIG. 6

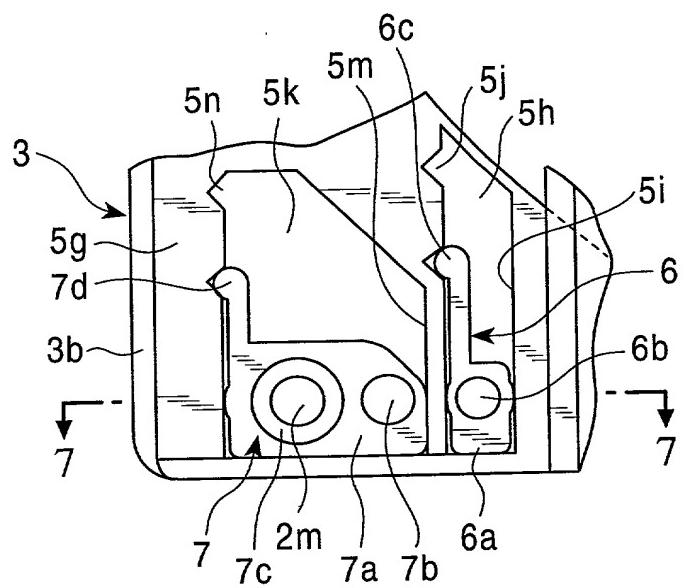


FIG. 7

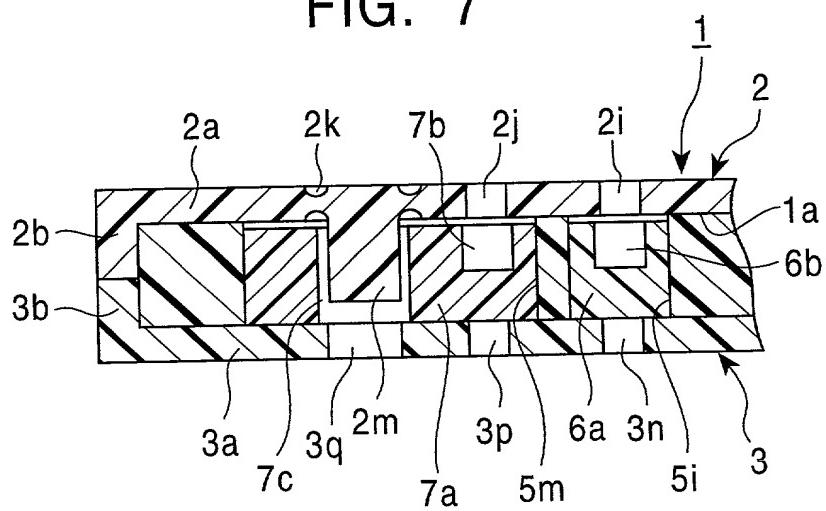


FIG. 8

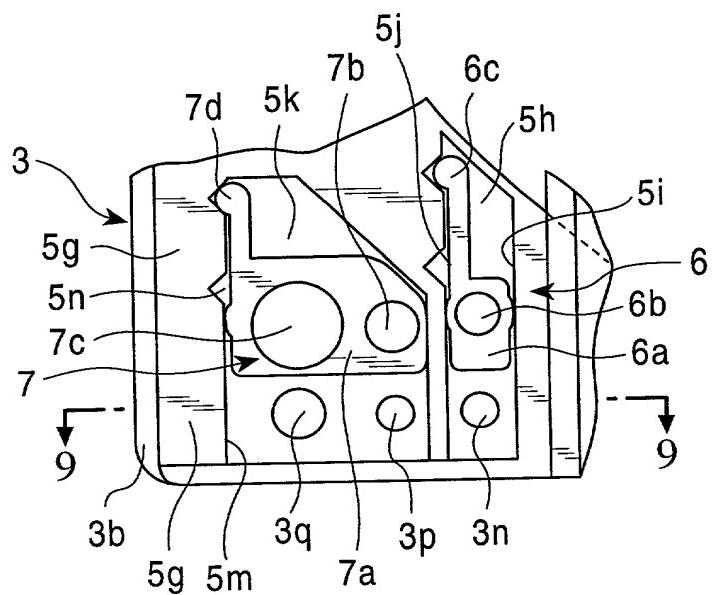


FIG. 9

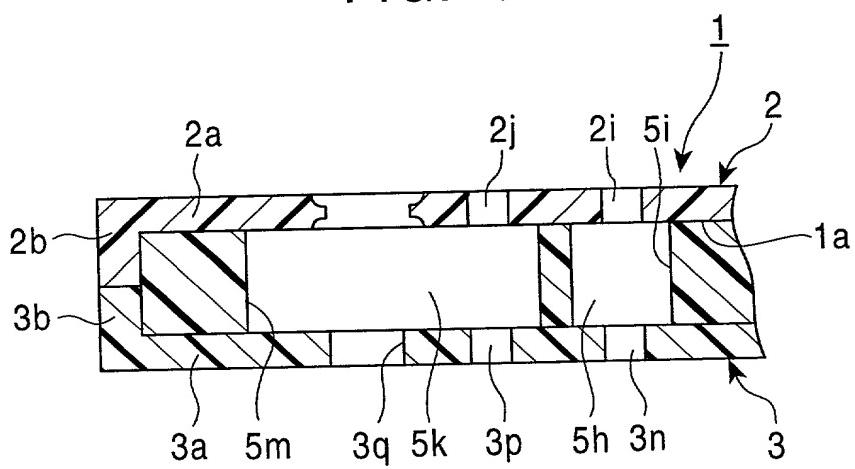


FIG. 10

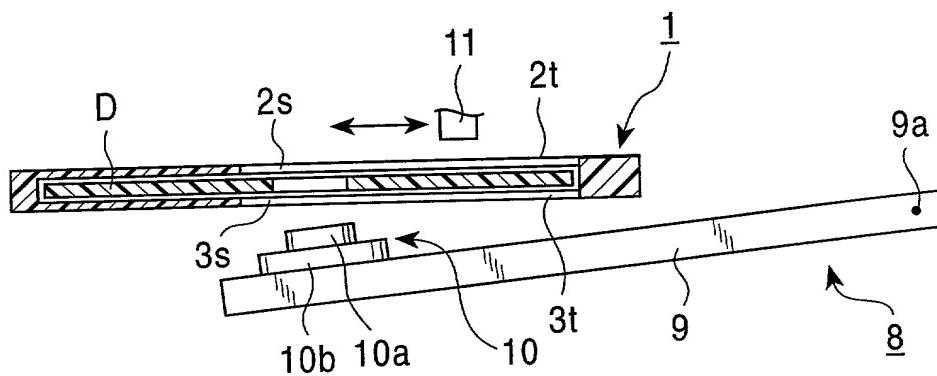


FIG. 11

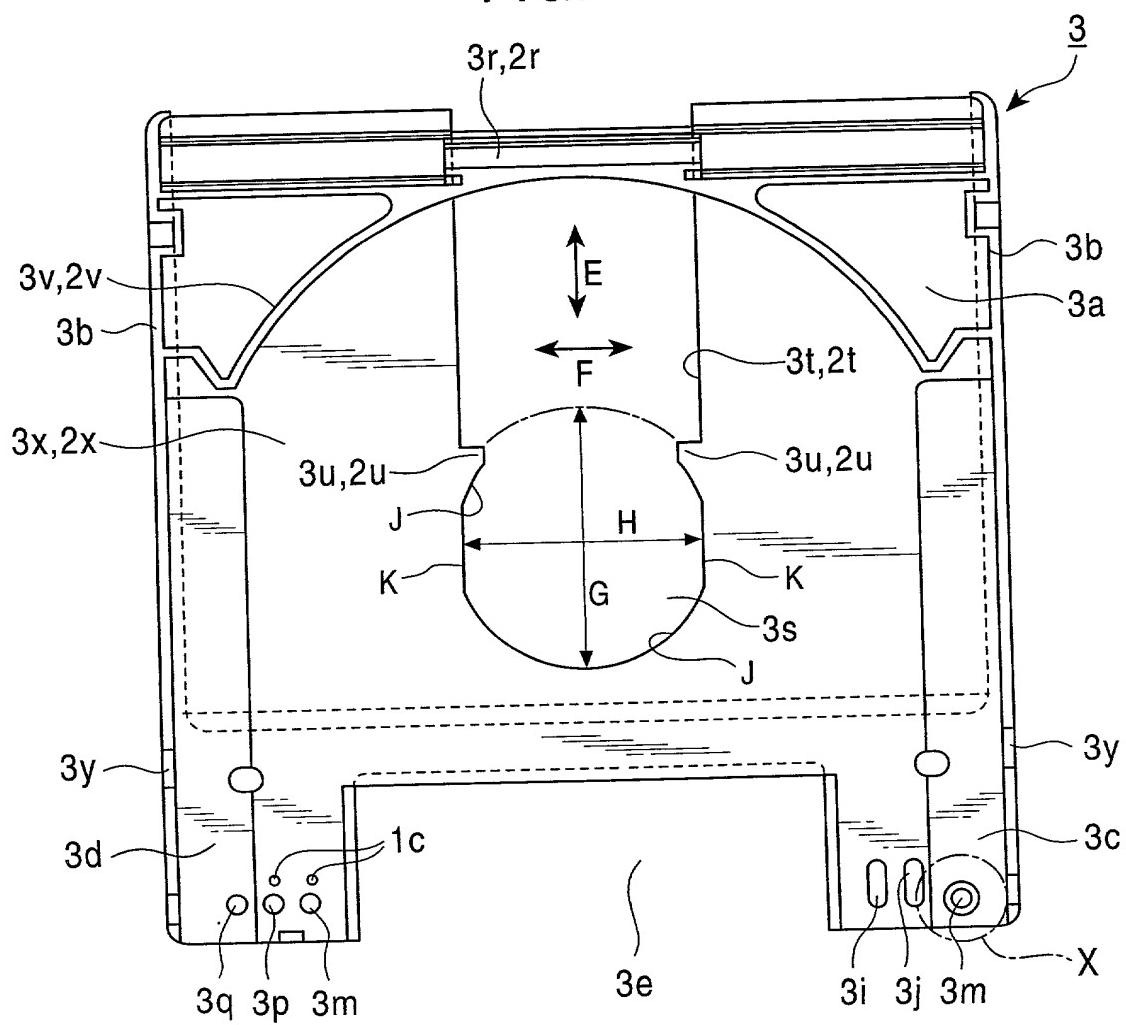


FIG. 10

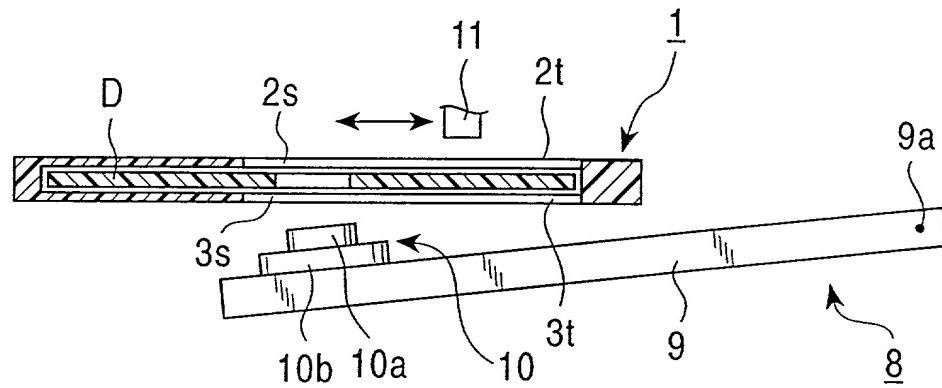


FIG. 11

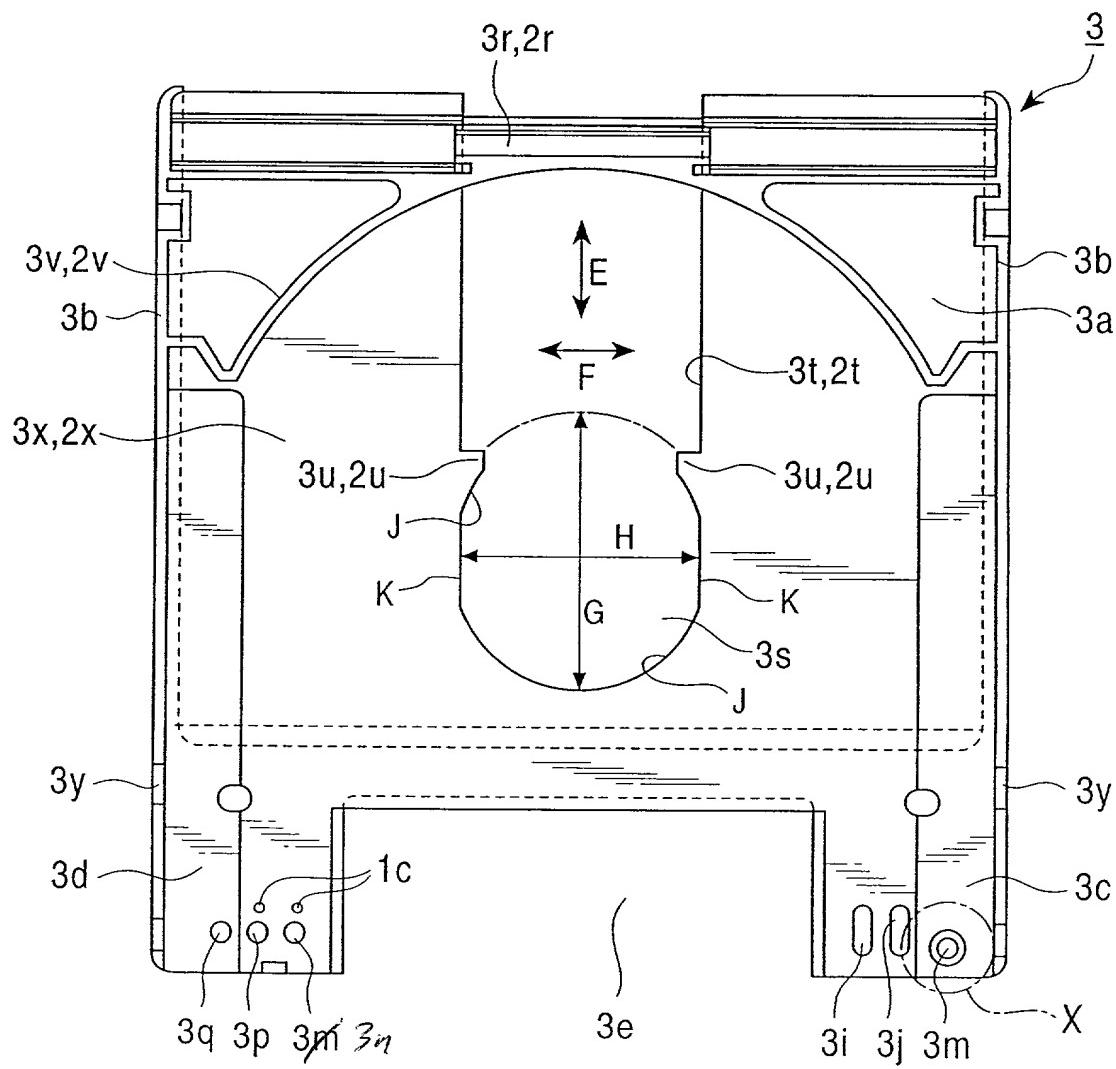


FIG. 12

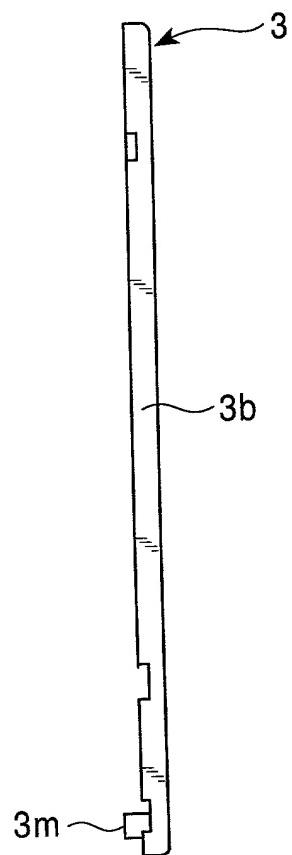


FIG. 13

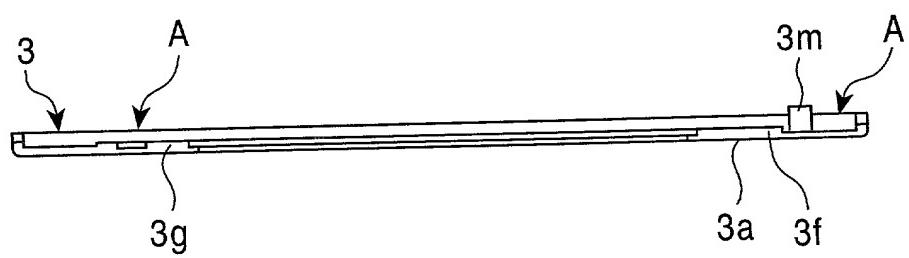


FIG. 14

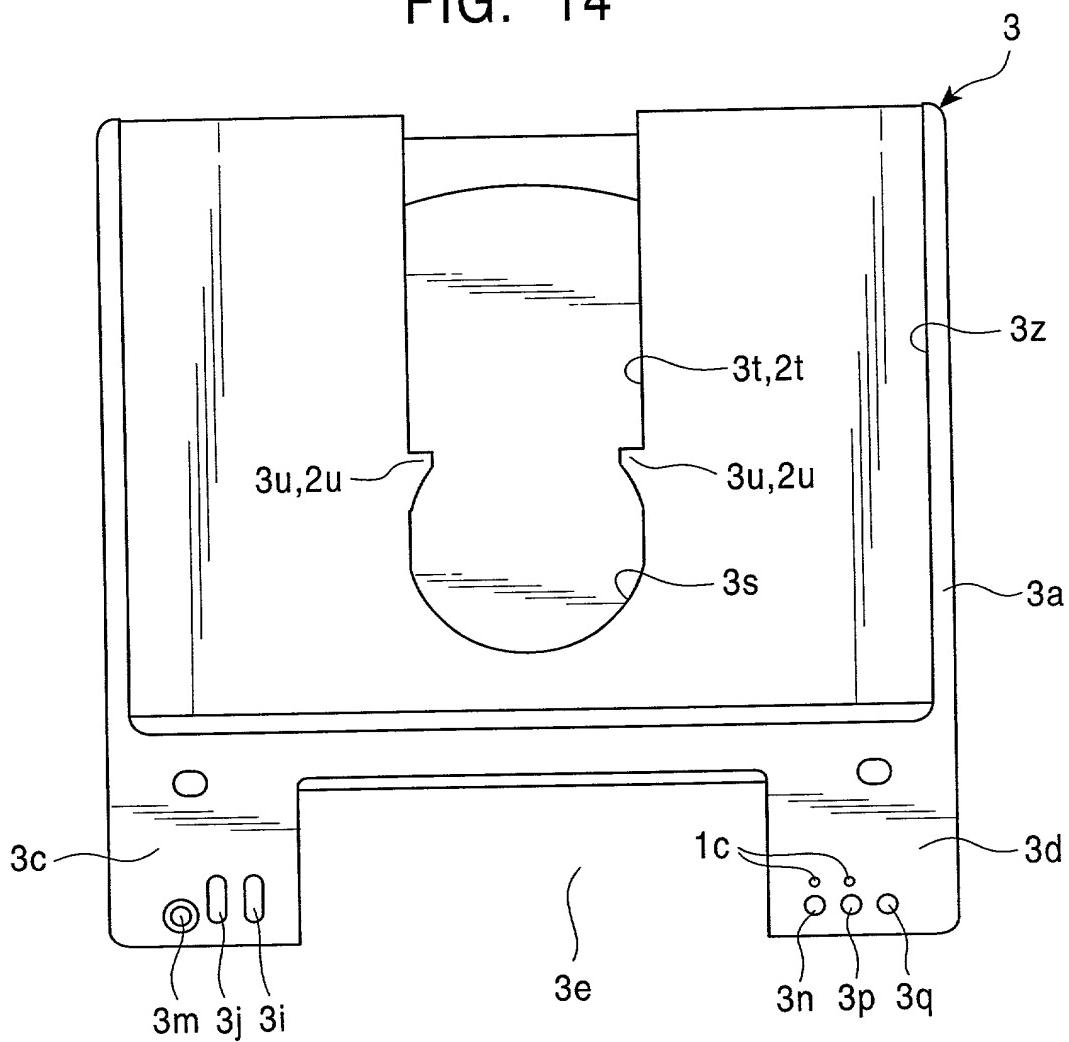


FIG. 15

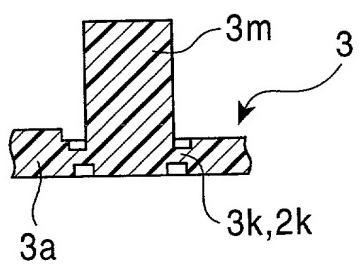


FIG. 16

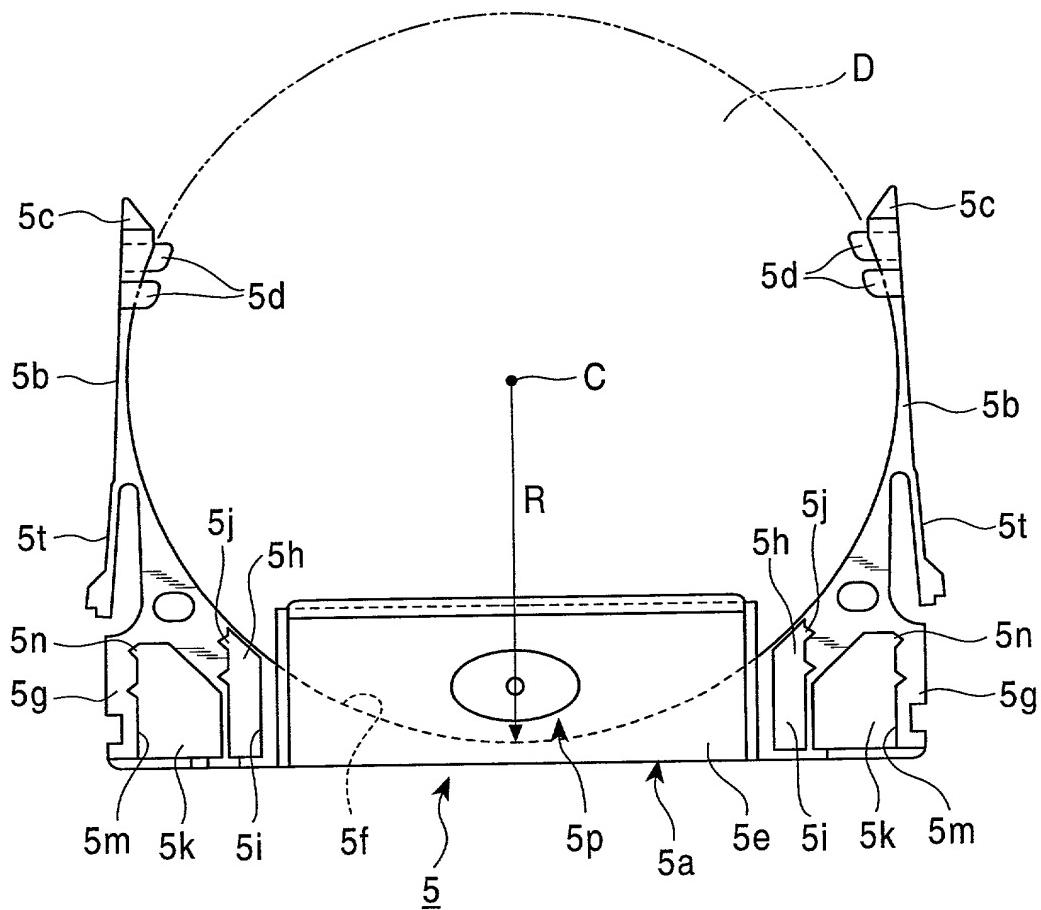


FIG. 17

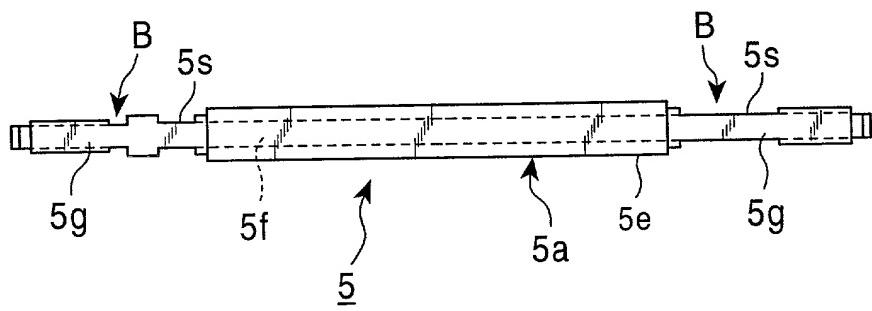


FIG. 18

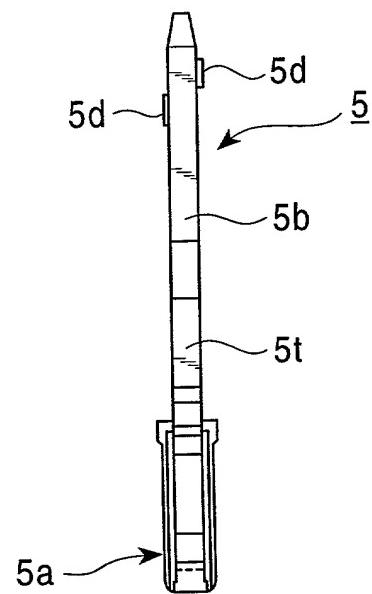


FIG. 19

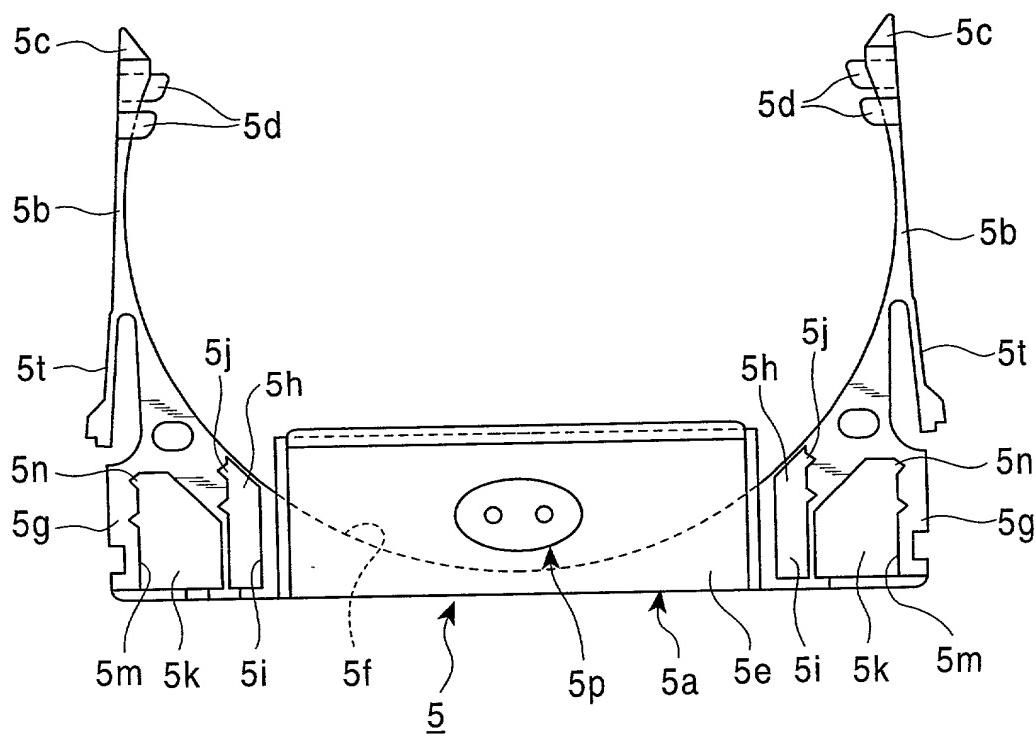


FIG. 20

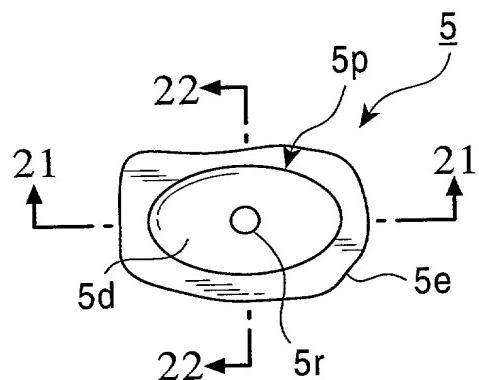


FIG. 21

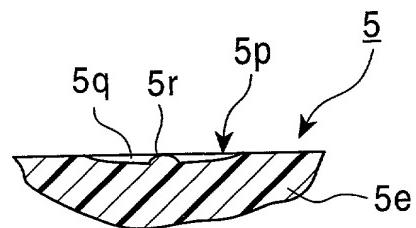


FIG. 22

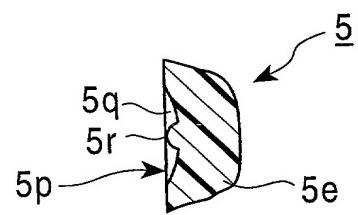


FIG. 23

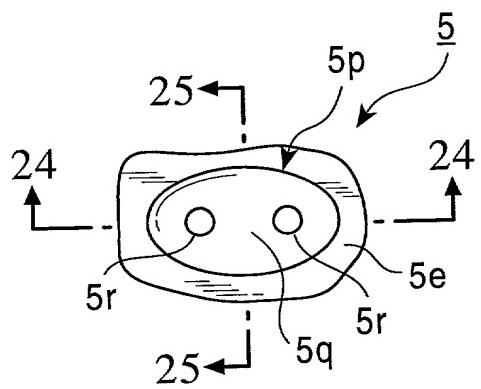


FIG. 24

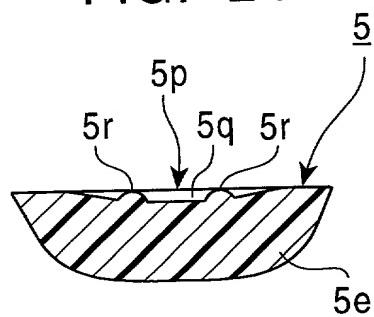


FIG. 25

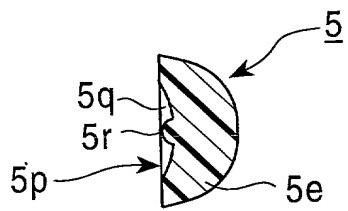


FIG. 26

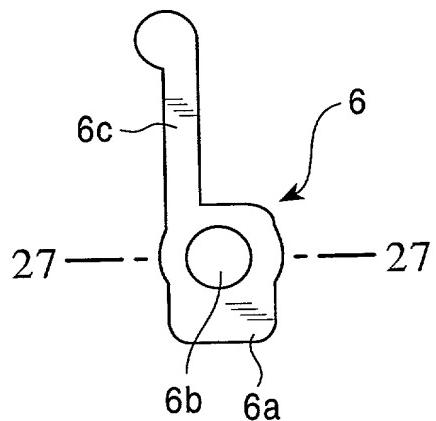


FIG. 27

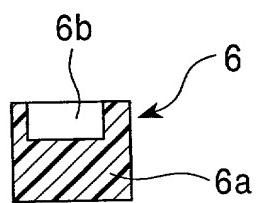


FIG. 28

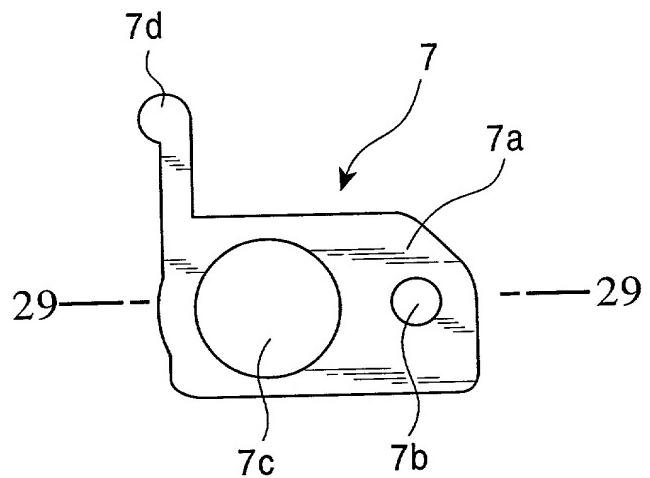
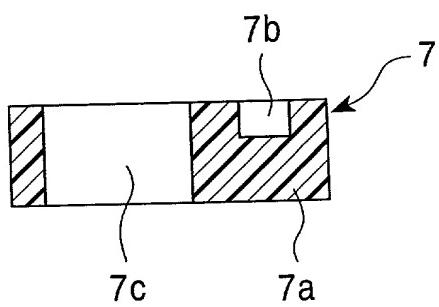


FIG. 29



15 / 15

FIG. 30
PRIOR ART

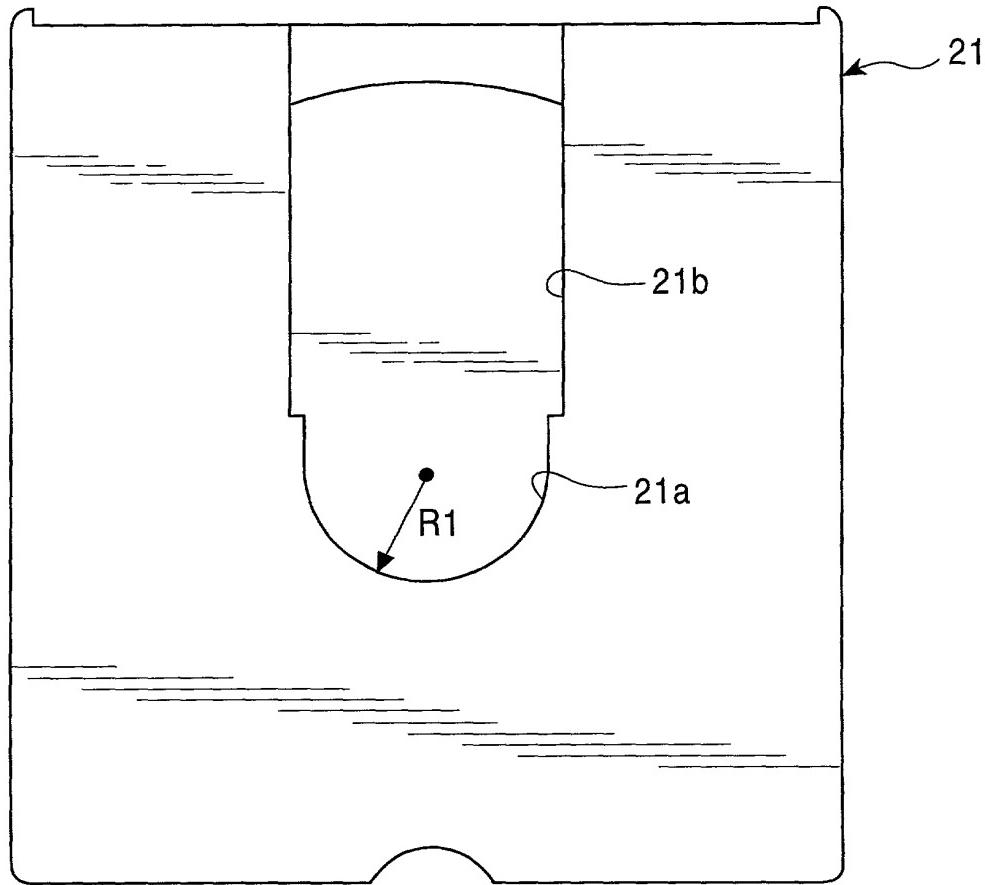
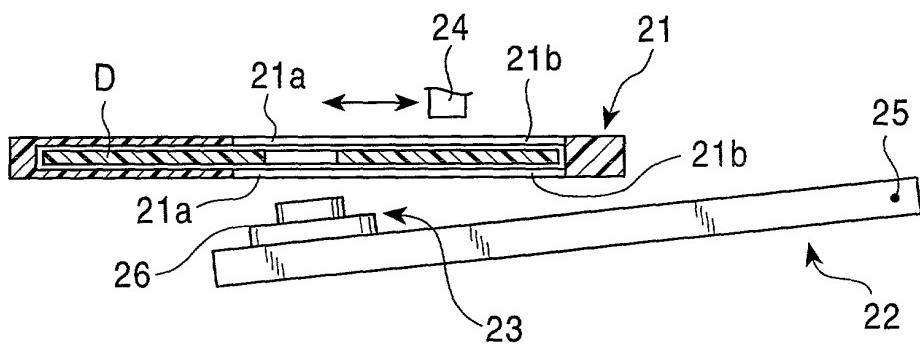


FIG. 31
PRIOR ART



Declaration and Power of Attorney For Patent Application

特許出願宣言書及び委任状

Japanese Language Declaration**日本語宣言書**

下記の氏名の発明者として、私は以下の通り宣言します。

As a below named inventor, I hereby declare that:

私の住所、私営箱、国籍は下記の私の氏名の後に記載された通りです。

My residence, post office address and citizenship are as stated next to my name.

下記の名称の発明に関して請求範囲に記載され、特許出願している発明内容について、私が最初かつ唯一の発明者（下記の氏名が一つの場合）もしくは最初かつ共同発明者であると（下記の名称が複数の場合）信じています。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

Optical Disk Cartridge

上記発明の明細書（下記の欄でx印がついていない場合は、本書に添付）は、

the specification of which is attached hereto unless the following box is checked:

一月一日に提出され、米国出願番号または特許協定条約
国際出願番号を _____ とし、
(該当する場合) _____ に訂正されました。

was filed on _____
as United States Application Number or
PCT International Application Number
and was amended on
_____ (if applicable).

私は、特許請求範囲を含む上記訂正後の明細書を検討し、内容を理解していることをここに表明します。

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

私は、連邦規則法典第37編第1条56項に定義されるとおり、特許資格の有無について重要な情報を開示する義務があることを認めます。

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Japanese Language Declaration (日本語宣言書)

私は、米国法典第35編119条(a)-(d)項又は365条(b)項に基き下記の、米国以外の国の少なくとも一ヵ国を指定している特許協力条約365(a)項に基く国際出願、又は外国での特許出願もしくは発明者証の出願についての外国優先権をここに主張するとともに、優先権を主張している、本出願の前に出願された特許または発明者証の外国出願を以下に、枠内をマークすることで、示しています。

Prior Foreign Application(s)

外国での先行出願

<u>11-002687</u>	<u>Japan</u>
(Number) (番号)	(Country) (国名)
(Number) (番号)	(Country) (国名)

私は、第35編米国法典119条(e)項に基いて下記の米国特許出願規定に記載された権利をここに主張いたします。

私は、下記の米国法典第35編120条に基いて下記の米国特許出願に記載された権利、又は米国を指定している特許協力条約365条(c)に基く権利をここに主張します。また、本出願の各請求範囲の内容が米国法典第35編112条第1項又は特許協力条約で規定された方法で先行する米国特許出願に開示されていない限り、その先行米国出願提出日以降で本出願者の日本国内または特許協力条約国提出日至での期間中に入手された、連邦規則法典第37編1条56項で定義された特許資格の有無に関する重要な情報について開示義務があることを認識しています。

<u>(Application No.)</u>	<u>(Filing Date)</u>
(出願番号)	(出願日)
<u>(Application No.)</u>	<u>(Filing Date)</u>

私は、私自身の知識に基づいて本宣言書中で私が行なう表明が真実であり、かつ私の入手した情報と私の信じるところに基づく表明が全て真実であると信じていること、さらに故意になされた虚偽の表明及びそれと同等の行為は米国法典第18編第1001条に基づき、罰金または拘禁、もしくはその両方により処罰されること、そしてそのような故意による虚偽の声明を行なえば、出願した、又は既に許可された特許の有効性が失われることを認識し、よってここに上記のごとく宣誓を致します。

I hereby claim foreign priority under Title 35, United States Code, Section 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Priority Not Claimed
優先権主張なし

<u>08/01/1999</u>
(Day/Month/Year Filed) (出願年月日)

(Day/Month/Year Filed)
(出願年月日)



I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.

<u>(Application No.)</u>	<u>(Filing Date)</u>
(出願番号)	(出願日)

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of application.

<u>(Application No.)</u>	<u>(Filing Date)</u>	<u>(Status: Patented, Pending, Abandoned)</u>
(出願番号)	(出願日)	(現況：特許許可済、係属中、放棄済)

(Status: Patented, Pending, Abandoned)
(現況：特許許可済、係属中、放棄済)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Japanese Language Declaration
(日本語宣言書)

委任状： 私は下記の発明者として、本出願に関する一切の手続きを米特許商標局に対して遂行する弁護士または代理人として、下記の者を指名いたします。（弁護士、または代理人の氏名及び登録番号を明記のこと）

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (list name and registration number)

See Attachment A

書類送付先

Send Correspondence to:

Brinks Hofer Gilson & Lione
P.O. Box 10395
Chicago, Illinois 60610
(312) 321-4200

直接電話連絡先：（名前及び電話番号）

Direct Telephone Calls to: (name and telephone number)

唯一または第一発明者名		Full name of sole or first inventor	
		Tatsumaro Yamashita	
発明者の署名	日付	Inventor's signature	Date
		<i>Tatsumaro Yamashita</i> December 3, 1999	
住所	Residence		
Miyagi-ken, Japan			
国籍	Citizenship		
Japan			
私書箱	Post Office Address c/o ALPS ELECTRIC CO., LTD. 1-7 Yukigaya, Otsuka-cho, Ota-ku, Tokyo, Japan		
第二共同発明者		Full name of second joint inventor, if any	
第二共同発明者	日付	Second inventor's signature	Date
住所	Residence		
国籍	Citizenship		
私書箱	Post Office Address c/o ALPS ELECTRIC CO., LTD. 1-7 Yukigaya, Otsuka-cho, Ota-ku, Tokyo, Japan		

(第三以降の共同発明者についても同様に記載し、署名をすること)
(Supply similar information and signature for third and subsequent joint inventors.)

ATTACHMENT A

Guy W. Shoup	26,805
Allan J. Sternstein	27,396
Gustavo Siller, Jr.	32,305
John C. Freeman	34,483
William F. Prendergast	34,699
Vita G. Conforti	39,639
Mark H. Remus	40,141
Steven G. Steger	40,185
Tadashi Horie	40,437
Joseph F. Hetz	41,070
Jason C. White	42,223